

09. 713-444

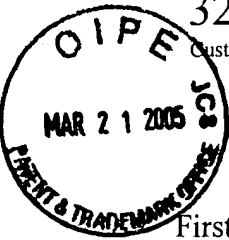
CofC

Patent

Case No.: 53768US018

32692

Customer Number



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: FERGEN, JAMES E.

Patent No.: 6857568

Group Art Unit: 2876

Dated: February 22, 2005

Examiner: Daniel St. Cyr

Title: TERMINAL FOR LIBRARIES AND THE LIKE

**REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR § 1.322**

Attn: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

March 17, 2005  
Date

*Colleen M. Wagner*  
Signed by: Colleen M. Wagner

Dear Sir:

It is respectfully requested that a Certificate of Correction be issued in connection with the above-identified patent. The required text is submitted on the attached form.

A copy of the PTO Form 1449 which was submitted by Applicant in the subject application on March 12, 2002, and subsequently initialed and dated by the Examiner, is enclosed. This paperwork substantiates that the references listed on the attached Certificate of Correction should appear on the patent and that the error of omitting the references on the printed patent is attributed solely to the USPTO.

Also enclosed is a copy of the Issue Fee Transmittal which indicates the title to be "A SYSTEM FOR PROCESSING FINANCIAL TRANSACTIONS IN A SELF-SERVICE LIBRARY TERMINAL". This is the correct title. Correction should appear on the patent and that the error of omitting the references on the printed patent is attributed solely to the USPTO.

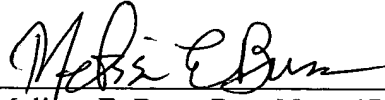
Because the listed errors first occurred in the printed patent, and are not due to Applicant's mistake, no fee is required in connection with this Certificate of Correction.

Respectfully submitted,

March 17, 2005

Date

By:



Melissa E. Buss, Reg. No.: 47,465

Telephone No.: (651) 733-0649

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

Page 1 of 2

PATENT NO.: 6857568  
DATED: February 22, 2005  
FIRST NAMED INVENTOR: FERGEN, JAMES E.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title Page,

Item 54, please delete the title "TERMINAL FOR LIBRARIES AND THE LIKE" and insert -- A SYSTEM FOR PROCESSING FINANCIAL TRANSACTIONS IN A SELF-SERVICE LIBRARY TERMINAL --.

Page 2, Column 1,

Other Publications, Line 6, insert -- 3M -- before "1996".

Page 2, Column 2,

Under Other Publication, insert the following reference:

Rafferty, "An integrated library circulation control system",  
System Technology, March 1983.

Drawings

In the drawings, Sheet 8, Figure 7, Box 308, delete "Recognzed" and insert -- Recognized --.

Column 1,

Line 13, after "items" delete ",".

Column 7,

Line 62, delete "back Up" and insert -- back up --.

Column 16,

Line 35, after "that" delete "is".

MAILING ADDRESS OF SENDER:

**OFFICE OF INTELLECTUAL PROPERTY COUNSEL  
3M INNOVATIVE PROPERTIES COMPANY  
3M CENTER - P.O. BOX 33427  
SAINT PAUL, MINNESOTA 55133-3427**

PATENT NO. 6857568

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**CERTIFICATE OF CORRECTION**

Page 2 of 2

PATENT NO.: 6857568  
DATED: February 22, 2005  
FIRST NAMED INVENTOR: FERGEN, JAMES E.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 18,

Line 48, delete "point B" and insert -- point E --.

Column 20,

Line 27, delete "IS" and insert -- 18 --.

Line 52, after "Assuming" delete "is".

Column 23,

Line 46, delete "check-out" and insert -- check out --.

Line 58, delete "desensitizer" and insert -- de-sensitizer --.

Column 24,

Line 51, delete "point B" and insert -- point E --.

Column 25,

Line 29, delete "or" and insert -- of --.

Column 27,

Line 28, after "state" insert -- . --.

Column 36,

Line 52, in Claim 14, after "claim 12" delete " , ".

MAILING ADDRESS OF SENDER:

**OFFICE OF INTELLECTUAL PROPERTY COUNSEL  
3M INNOVATIVE PROPERTIES COMPANY  
3M CENTER - P.O. BOX 33427  
SAINT PAUL, MINNESOTA 55133-3427**

PATENT NO. 6857568

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Sheet 1 of 1

Form <b>PTO 149</b> <b>MAR 21 2002</b> <b>PATENT &amp; TRADEMARK OFFICE</b>					Docket No. P01,0431 05		Serial No. 09/713,444	
U.S. Department of Commerce Patent and Trademark Office					Applicant James E. Fergen et al.			
LIST OF PRIOR ART CITED BY APPLICANT (use several sheets if necessary)					Filing Date November 15, 2000		Group Art Unit <del>2632</del> 2876	
<b>U.S. PATENT DOCUMENTS</b>								
Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If appropriate	
	AA							
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
<b>FOREIGN PATENT DOCUMENTS</b>								
		Document Number	Date	Country	Class	Subclass	Translation Yes No	
<b>TL</b>	AL	0 364 676	04/25/90	Europe				
	AM							
	AN							
	AO							
	AP							
	AQ							
<b>OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
<b>AS</b>	AQ	Rafferty, "An integrated library circulation control system", Systems Technology, March 1983.						
	AR	International Preliminary Examination Report						
	AS							
	AT							
	AU							
Examiner		<b>Phloekrey</b>			Date Considered <b>9/11/82 / 9/7/04</b>			
<b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

RECEIVED

MAR 22 2002

Technology Center 2600

## PART B - FEE(S) TRANSMITTAL

DUE DATE(S) \_\_\_\_\_

ATTORNEY MEB  
DOCKETED meMail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
(703) 746-4000

or Fax

5376845018

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed and approved. All further correspondence including the Patent advance orders and notification of maintenance fees will be mailed to the current correspondence address unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, have its own certificate of mailing or transmission.

32692

7590

07/09/2004

3M INNOVATIVE PROPERTIES COMPANY  
PO BOX 33427  
ST. PAUL, MN 55133-3427

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the U.S. Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Colleen M. Wagner (Depositor's)  
Colleen M. Wagner (Sign)  
October 7, 2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/713,444	11/15/2000	James E. Fergen	28299/34088E	4876

TITLE OF INVENTION: A SYSTEM FOR PROCESSING FINANCIAL TRANSACTIONS IN A SELF-SERVICE LIBRARY TERMINAL

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	10/12/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
ST CYR, DANIEL	2876	235-385000

Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Melissa E. Buss

2 \_\_\_\_\_

3 \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

3M Innovative Properties CompanySt. Paul, MN USAPlease check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

## 4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 3

## 4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment Deposit Account Number 13-3723 (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party with a bona fide interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature) Melissa E. Buss (Date)Melissa E. Buss Reg. No. 47,465October 7, 2004

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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